

# Investment Products and Services



## PRODUCTS

- Stocks
- Bonds
- Mutual Funds (including money market funds<sup>1</sup>) and Insured Cash Accounts (ICA)<sup>2</sup>
- Certificates of Deposit
- Exchange Traded Funds and Exchange Trade Notes
- Structured Notes (Principal Protection Notes)
- Unit Investment Trusts
- Limited Partnerships
- Real Estate Investment Trusts
- Hedge Funds
- Variable Annuities
- Fixed Annuities
- 529 College Savings Plans

## SERVICES

- Direct Mutual Fund Accounts
- Brokerage Accounts
- Options and Margin Accounts
- IRA, Roth IRA, SEP IRA, 401(k), and other qualified retirement plans
- 529 College Savings Plans
- Advisory Accounts
  - Wrap Accounts
  - Unified Managed Accounts
  - Separately Managed Accounts

\*Please ask for more information about brokerage services, including a description of fees and other associated charges.

1. An investment in the money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although the funds offered seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing the funds.

2. You must meet eligibility requirements to invest in the ICA account. FDIC insured up to \$250,000 in principal and accrued interest per depositor.

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