

Wealth Management

Products:

- Stocks & bonds
- Mutual Funds (including money market funds¹) & Core Account Sweep Program (CASP)
- Certificates of Deposit
- Exchange Traded Funds & Exchange Trade Notes
- Structured Notes (Principal Protection Notes)
- Unit Investment & Real Estate Investment Trusts
- Limited Partnerships
- Hedge Funds
- Variable & Fixed Annuities
- 529 College Savings Plans

Services:

- Direct Mutual Fund Accounts
- Brokerage Accounts
- Options and Margin Accounts
- IRA, Roth IRA, SEP IRA, 401(k), and other qualified retirement plans
- 529 College Savings Plans
- Advisory Accounts
- Wrap Accounts
- Unified Managed Accounts
- Separately Managed Accounts
- ESG Investing

**Please ask for more information about brokerage services, including a description of fees and other associated charges.*

1. An investment in the money market fund is neither insured nor guaranteed by the FDIC or any other government agency. Although the funds offered seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing the funds.

Securities and advisory services offered through Commonwealth Financial Network[®], Member FINRA/SIPC, a Registered Investment Advisor.

Fixed Insurance products and services offered through Kaplan Financial Group, LLC, Kaplan Benefits Group, LLC, or CES Insurance Agency.

